

# Optical Properties of Semiconductor Photonic-Crystal Structures

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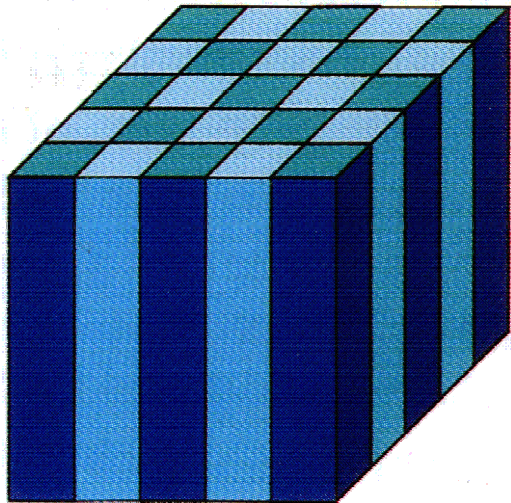
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# Photonic crystals and semiconductors

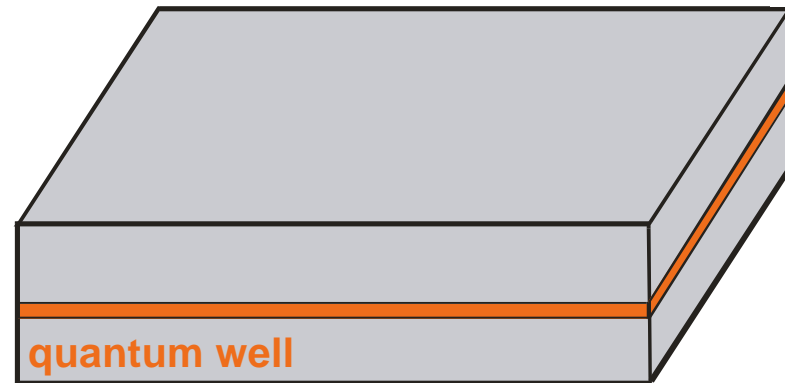
## Photonic crystals

- 1D, 2D, 3D
- **photonic** bandstructure
- light propagation, nonlinearities, ...
- interaction with atomic resonances = level systems



## Semiconductors and heterostructures

- bulk and quantum wells, wires, dots
- **electronic** bandstructure and confinement
- Coulomb interaction important for optical properties (excitons, etc.)
- level systems not adequate, instead many-body theory required



# Outline

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## Brief description of theoretical approach



## Influence of modified **transverse** fields

- consequences of inhibited spontaneous emission
- changes of exciton statistics and photoluminescence



## Influence of modified **longitudinal** fields

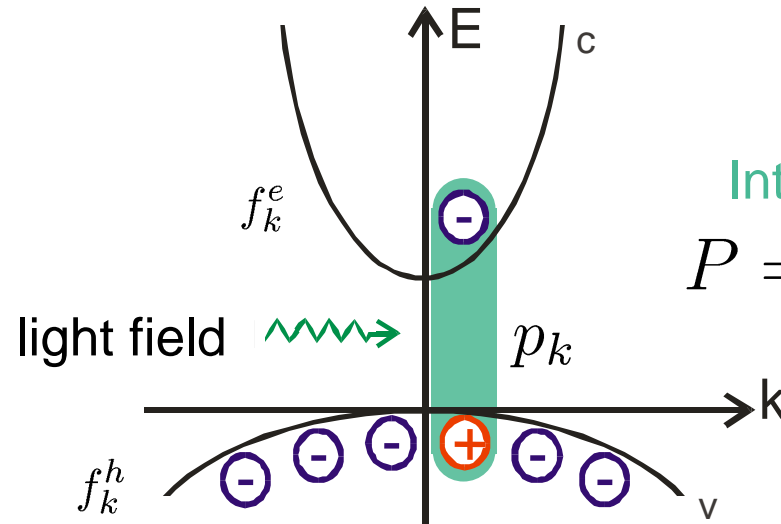
- dielectric shifts result in spatially inhomogeneous band gap, exciton binding energy, and carrier occupations
- wave packet dynamics



## Self-consistent solutions of **Maxwell-Bloch** equations

- enhanced light-matter interaction due to light concentration
- strongly increased absorption and gain

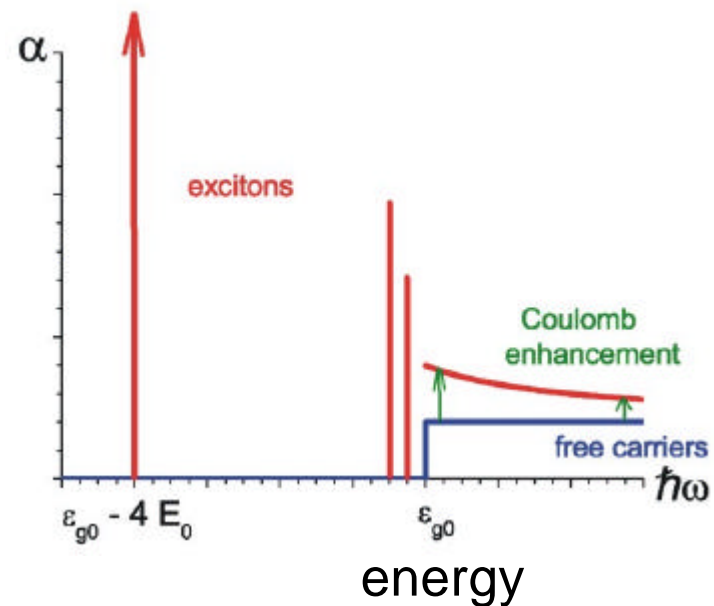
# Photoexcited semiconductors



Interband polarization

$$P = \sum_k \mu_{cv}^* p_k + c.c.$$

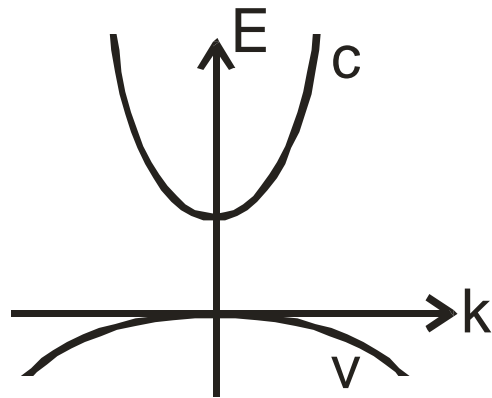
**Electron-hole attraction**  
 $\Rightarrow$  **hydrogenic series of exciton resonances below band gap**



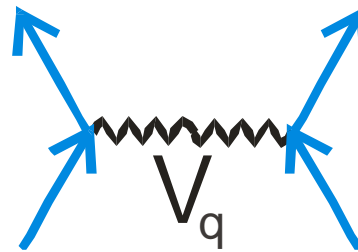
# Theoretical description of semiconductor optics

## minimal Hamiltonian

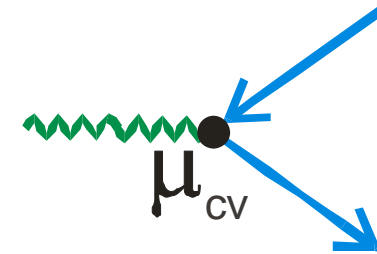
$$\hat{H} = \hat{H}_{bandstructure} + \hat{H}_{Coulomb} + \hat{H}_{light-matter}$$



single-particle states



many-particle interaction



interband excitation

Coulomb interaction introduces many-body problem

⇒ Consistent approximations required: Hartree-Fock,  
second Born, dynamics-controlled truncation, cluster expansion,

...

# Equations of motion and light-matter interaction

- semiclassical equations of motion for material excitations (density matrix): **semiconductor Bloch equations**

$$i\hbar \frac{\partial}{\partial t} p_k = \hbar\omega_k p_k + \underbrace{\left[ f_k^e + f_k^h - 1 \right]}_{\text{phase space filling}} \left( \mu_{cv} \cdot E + \underbrace{\sum_{k' \neq k} V_{|k-k'|} p_{k'}}_{\text{Coulomb renormalization}} \right) + i\hbar \frac{\partial}{\partial t} p_k \Big|_{\text{corr}} \underbrace{\hspace{10em}}_{\text{scattering and correlations}}$$

and similar equations for carrier occupations  $f_k^e$  and  $f_k^h$

- Maxwell equation

$$\nabla^2 E - \left( \frac{n}{c} \right)^2 \frac{\partial^2 E}{\partial t^2} = \mu_0 \frac{\partial^2 P}{\partial t^2}$$

- material response described by  $P = \sum_k \mu_{cv}^* p_k + \text{c.c.}$

$$\parallel \langle a_{v,k}^\dagger a_{c,k} \rangle$$

# Theoretical description of semiconductor optics

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- classical light field:

**semiconductor Bloch equations + Maxwell's equations**

- quantized light field

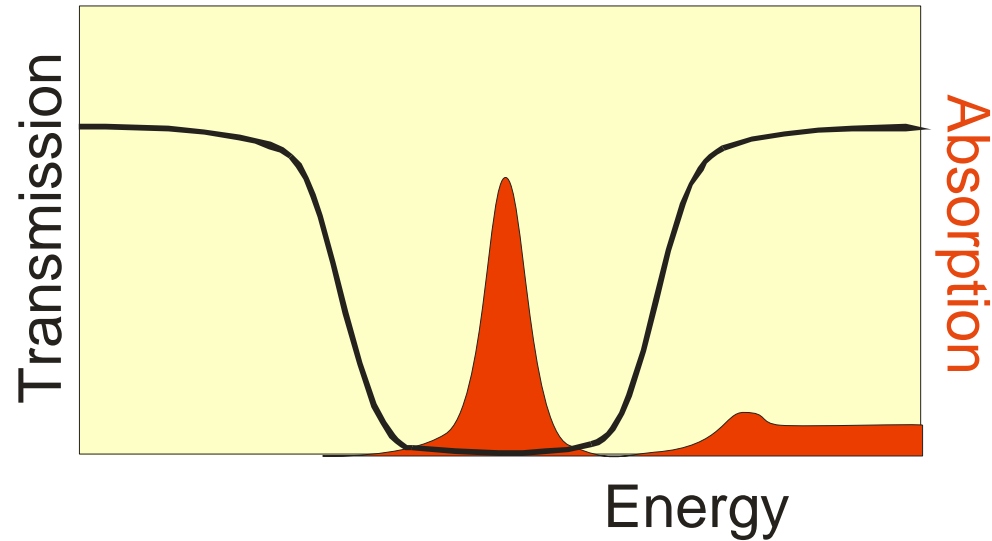
(required for consistent description of luminescence):

**semiconductor luminescence equations**

**= coupled dynamics of material and light-field modes  
including photon-assisted density matrices**

**⇒ Consistent solution of coupled dynamics  
of light and material system**

# Influence of transverse fields on semiconductor optics



## Exciton resonance lies in a photonic band gap

**Model study of exciton formation after injection of thermal electrons and holes in the bands:**

Quantum wire in a photonic crystal.

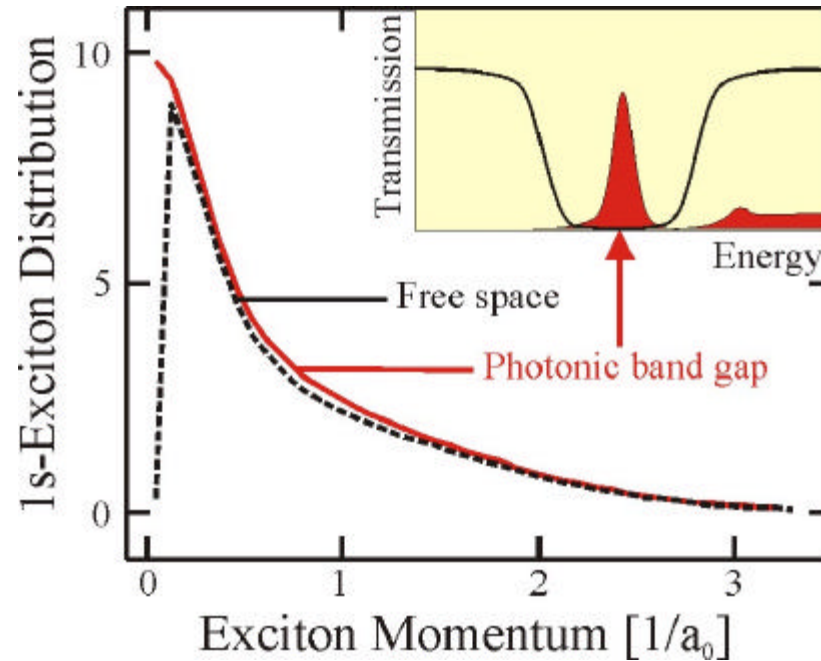
Lowest exciton level lies inside photonic band gap (modeled by reduced recombination).

Solution of **semiconductor luminescence equations**.



# Exciton distribution in quantum wire

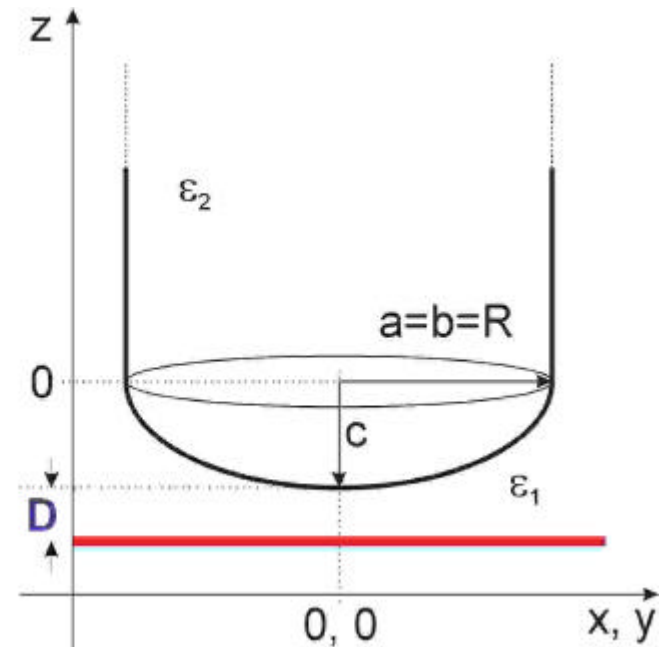
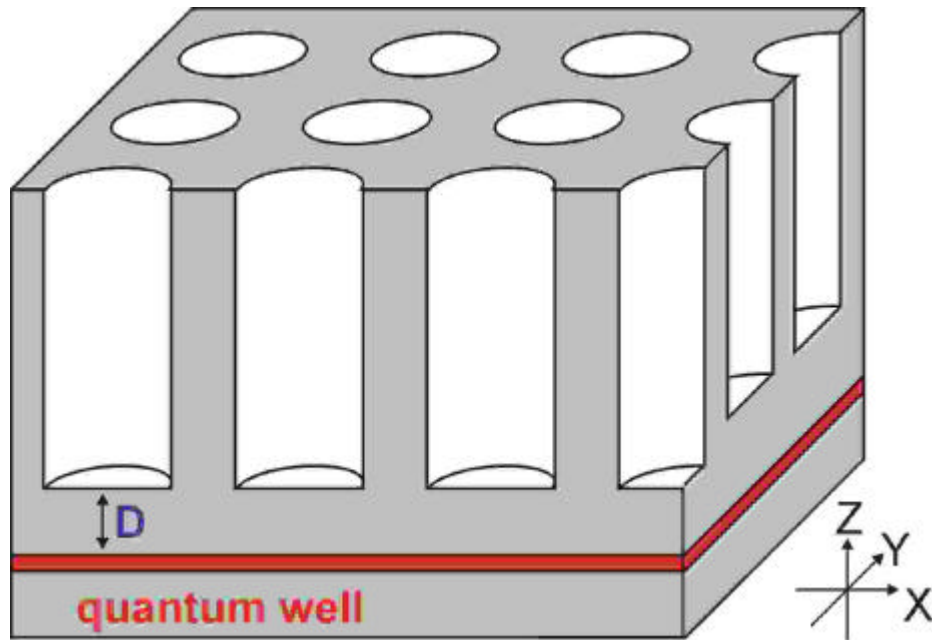
- $T = 10$  K, **strong** vs. **weak** recombination  
(free space) (1/100 due to photonic band-gap)



- strong depletion of  $q = 0$  excitons in free space
- overall shape NOT Bose-Einstein distribution
- resulting influence on photoluminescence

# Influence of longitudinal fields on semiconductor optics

model system



- 2D photonic crystal (air cylinders surrounded by dielectric medium)
- cap layer
- semiconductor quantum well

- ellipsoidal shape of cylinder bottom

# Influence of longitudinal fields on semiconductor optics

longitudinal part: generalized Poisson equation

$$-\nabla \cdot [\epsilon(\mathbf{r}) \nabla \phi(\mathbf{r}, t)] = 4\pi \rho(\mathbf{r}, t)$$

generalized Coulomb potential  $V_C$

$$-\nabla \cdot [\epsilon(\mathbf{r}) \nabla V_C(\mathbf{r}, \mathbf{r}')] = 4\pi \delta(\mathbf{r} - \mathbf{r}')$$

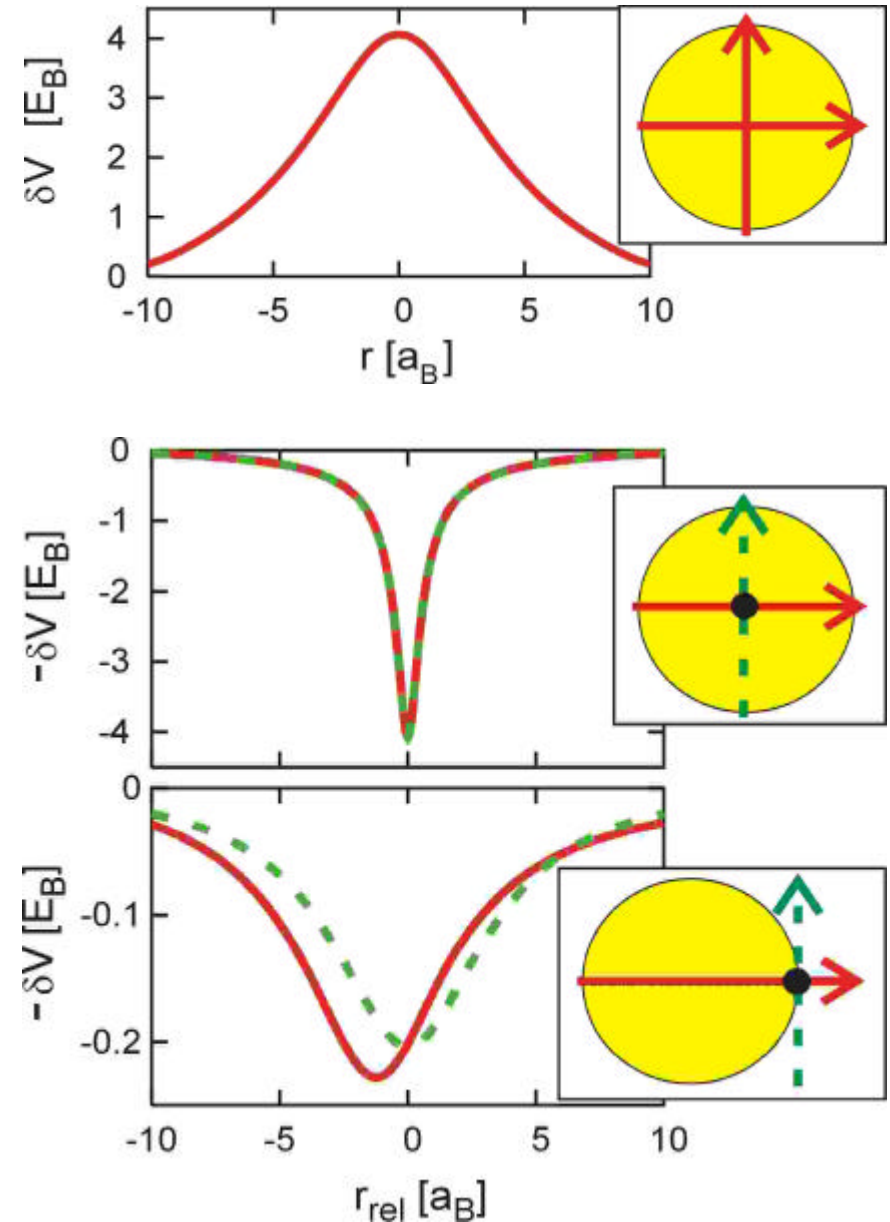
solution for piecewise constant  $\epsilon(\mathbf{r})$

$$\begin{aligned} V_C(\mathbf{r}, \mathbf{r}') &= \frac{1}{\epsilon(\mathbf{r}')} \frac{1}{|\mathbf{r} - \mathbf{r}'|} - \frac{1}{4\pi} \sum_{ij} \left( \frac{1}{\epsilon_i} - \frac{1}{\epsilon_j} \right) \int_{\partial D_{ij}} da'' \frac{1}{|\mathbf{r}'' - \mathbf{r}|} \mathbf{n}_i'' \cdot \mathbf{D}_l(\mathbf{r}'', \mathbf{r}') \\ &= V_0(\mathbf{r}, \mathbf{r}') + \delta V(\mathbf{r}, \mathbf{r}') \end{aligned}$$

⇒ near a periodically structured dielectric  
the Coulomb potential varies periodically in space

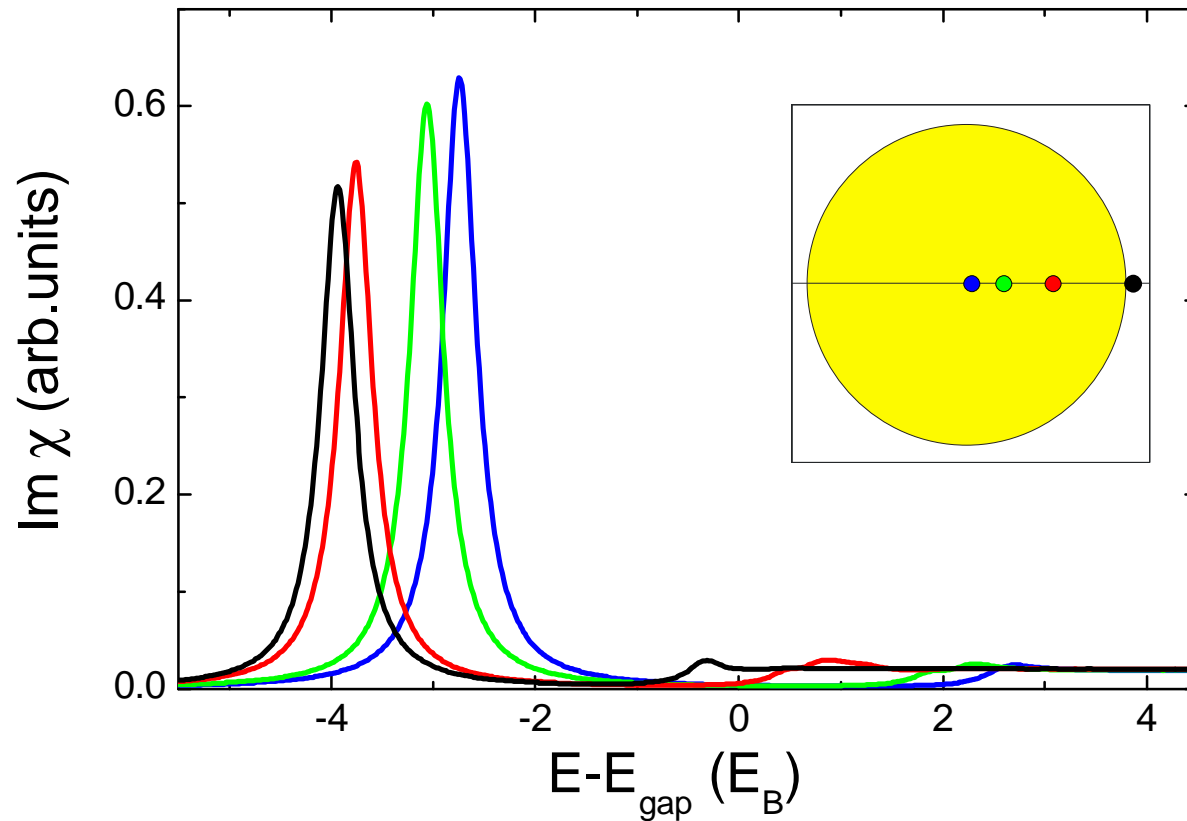
# Corrections due to generalized Coulomb potential

- position-dependent band gap: biggest increase underneath center of the air cylinders
- position-dependent electron-hole attraction: strongest underneath center of the air cylinders



# Excitons in photonic crystals

numerically calculated absorption spectra for fixed c.o.m. positions

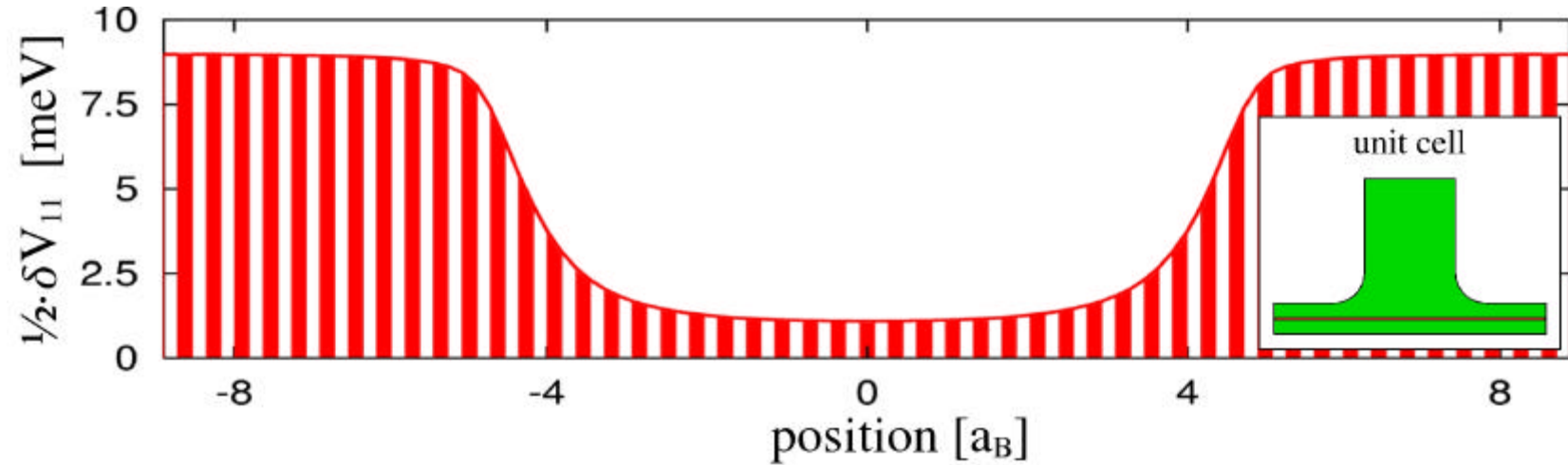


⇒ spatial variation of band gap ( $\sim 4E_B$ ) and  
exciton binding energy ( $\sim 2.5 E_B$ )  
with periodicity of photonic crystal



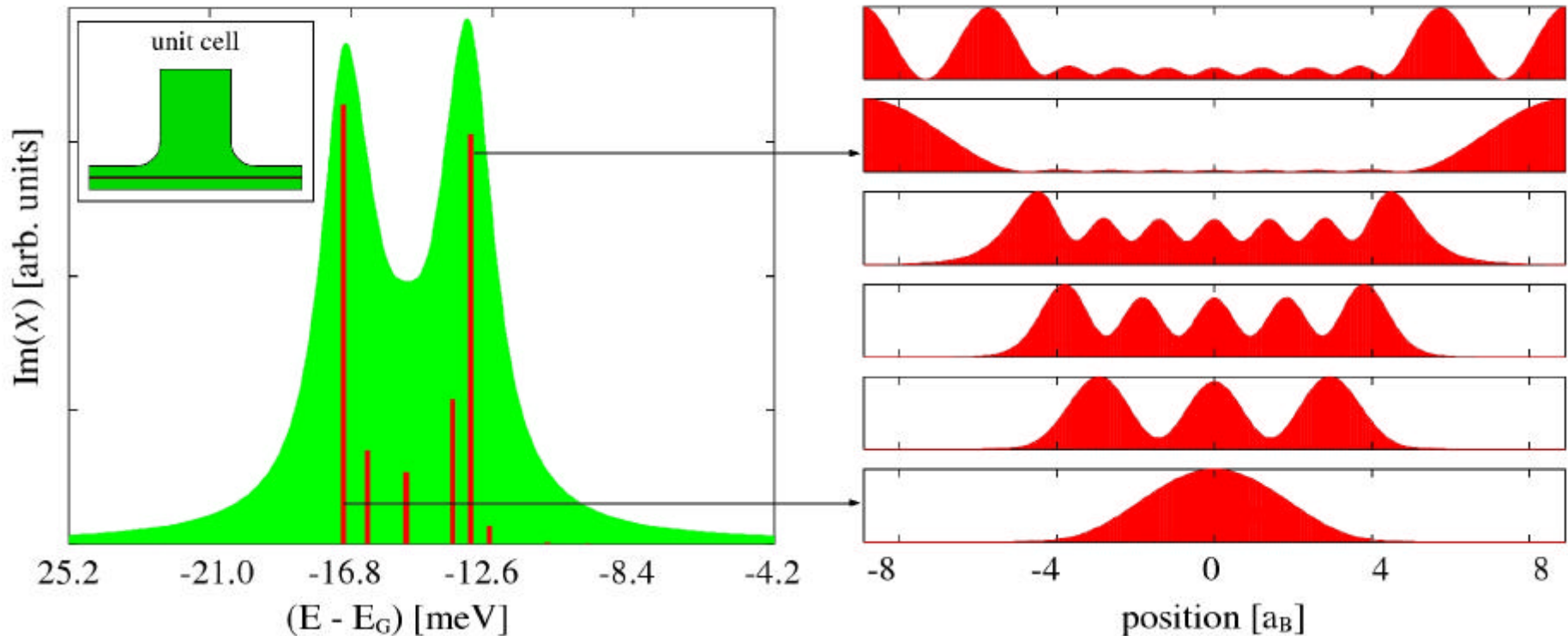
## Excitons in photonic crystals II

Quantum wires underneath one-dimensional ridges of dielectric material



# Excitons in photonic crystals II

Quantum wires underneath one-dimensional ridges of dielectric material

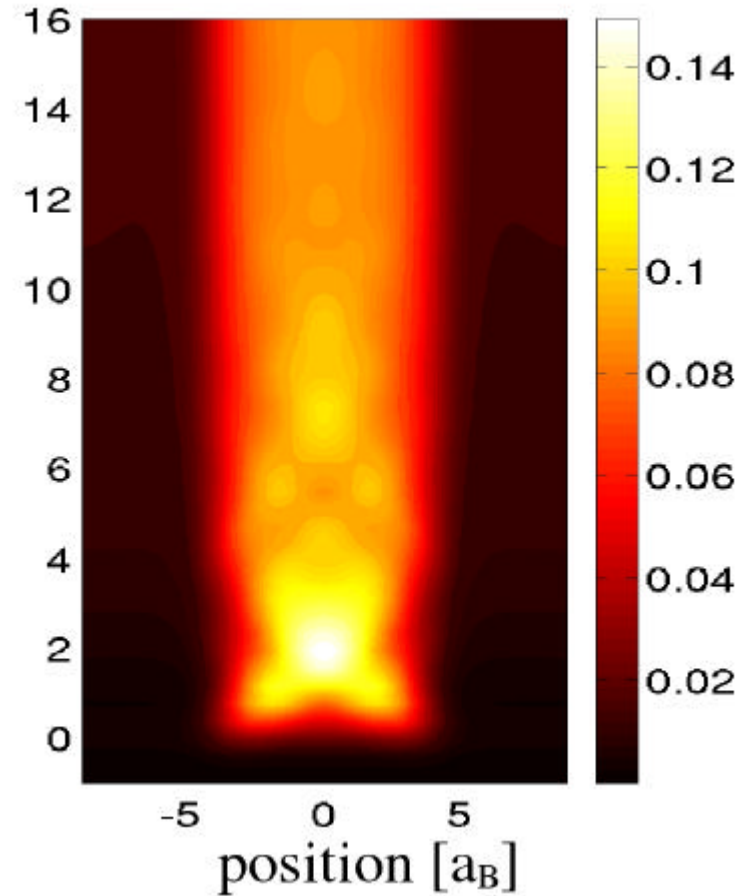
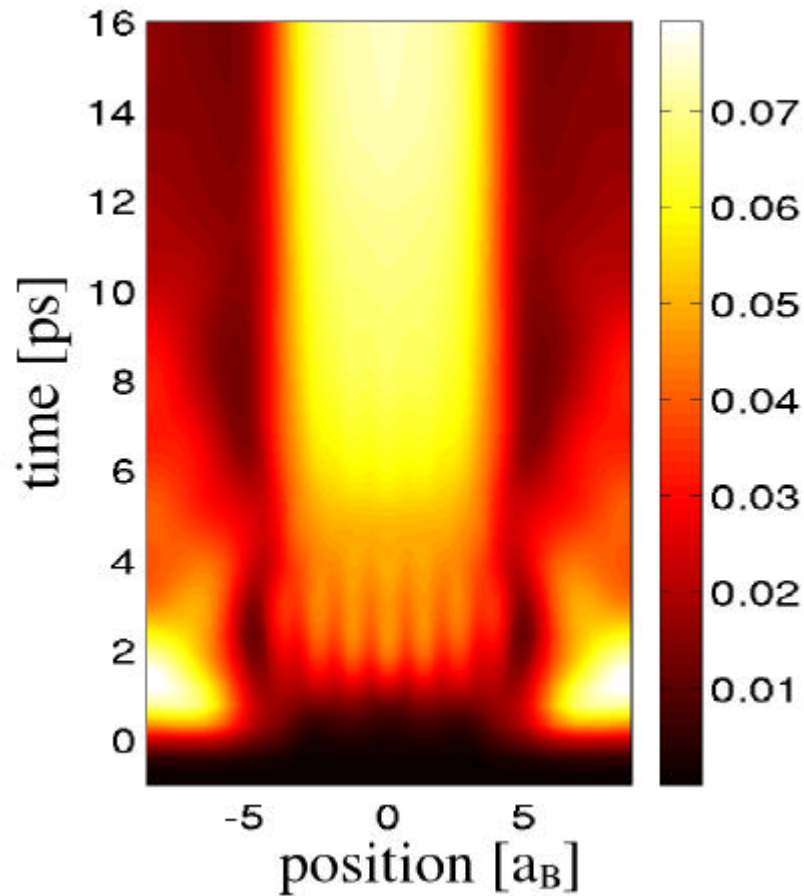


- ⇒ variety of inhomogeneous excitons
- ⇒ spectrally selective excitation leads to spatially inhomogeneous carrier distributions



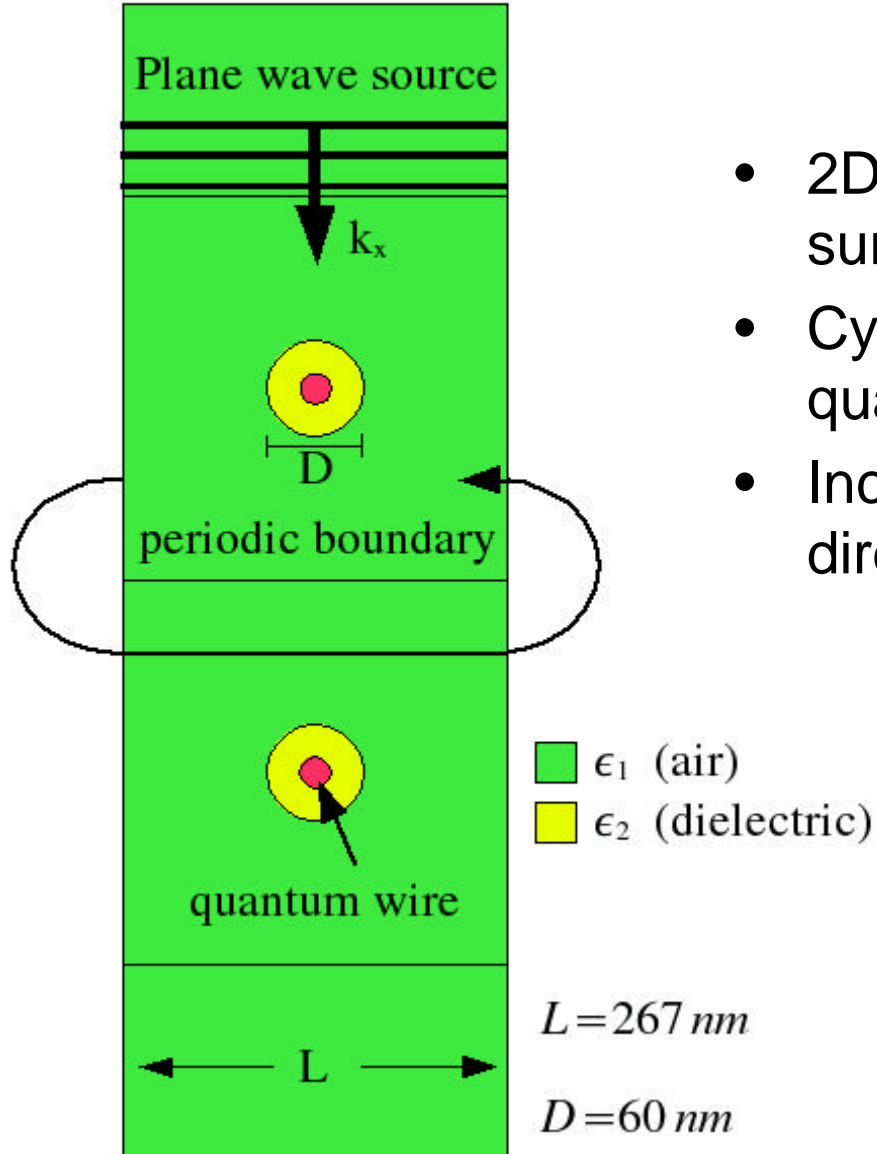
# Coherent wave packet dynamics

Spectrally selective excitation in quantum wire,  
relaxation modeled by  $T_1$  time (4ps)



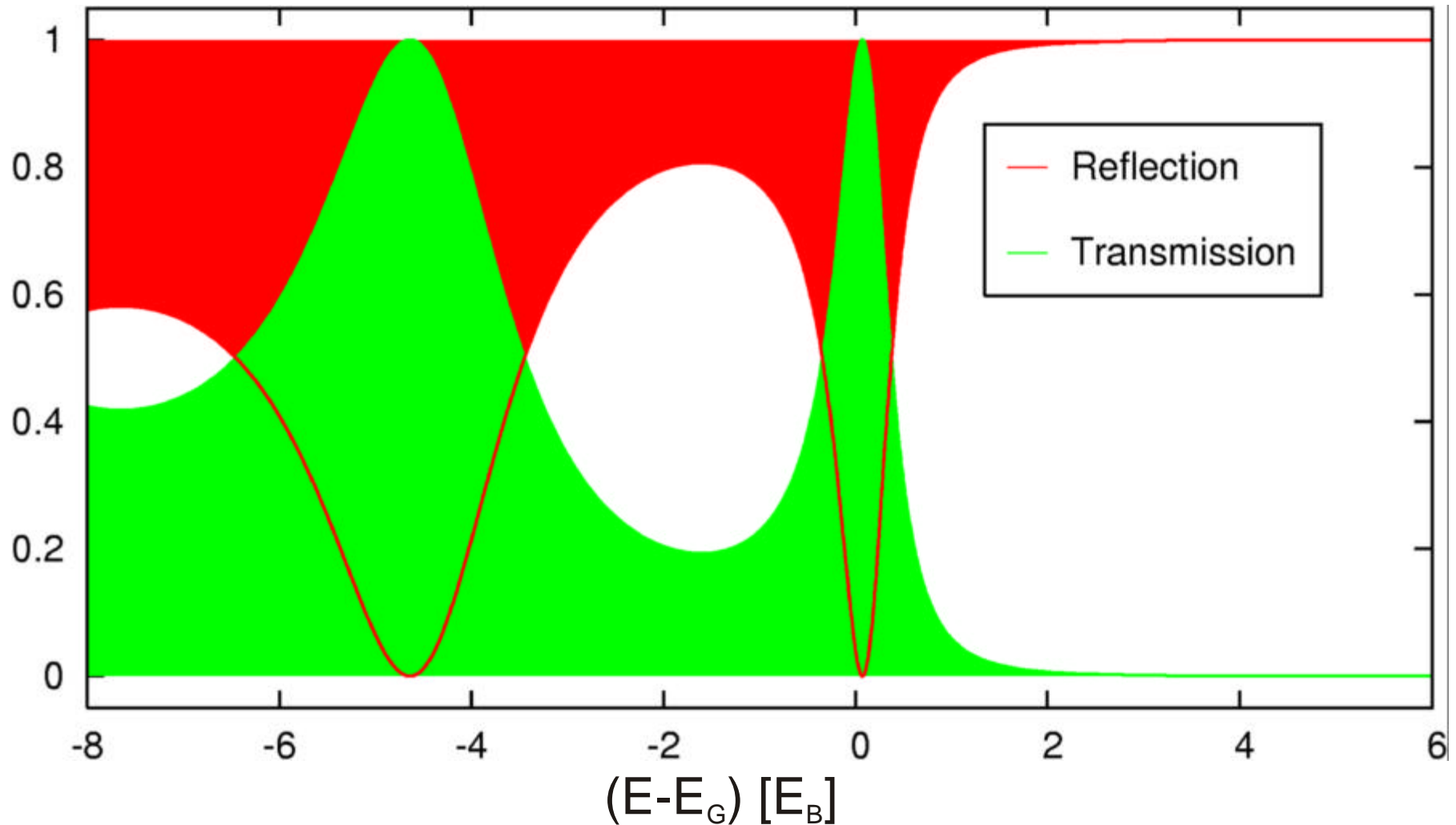
⇒ spatially inhomogeneous carrier occupations evolve in time  
due to wave packet dynamics

# Solution of Maxwell-Bloch equations



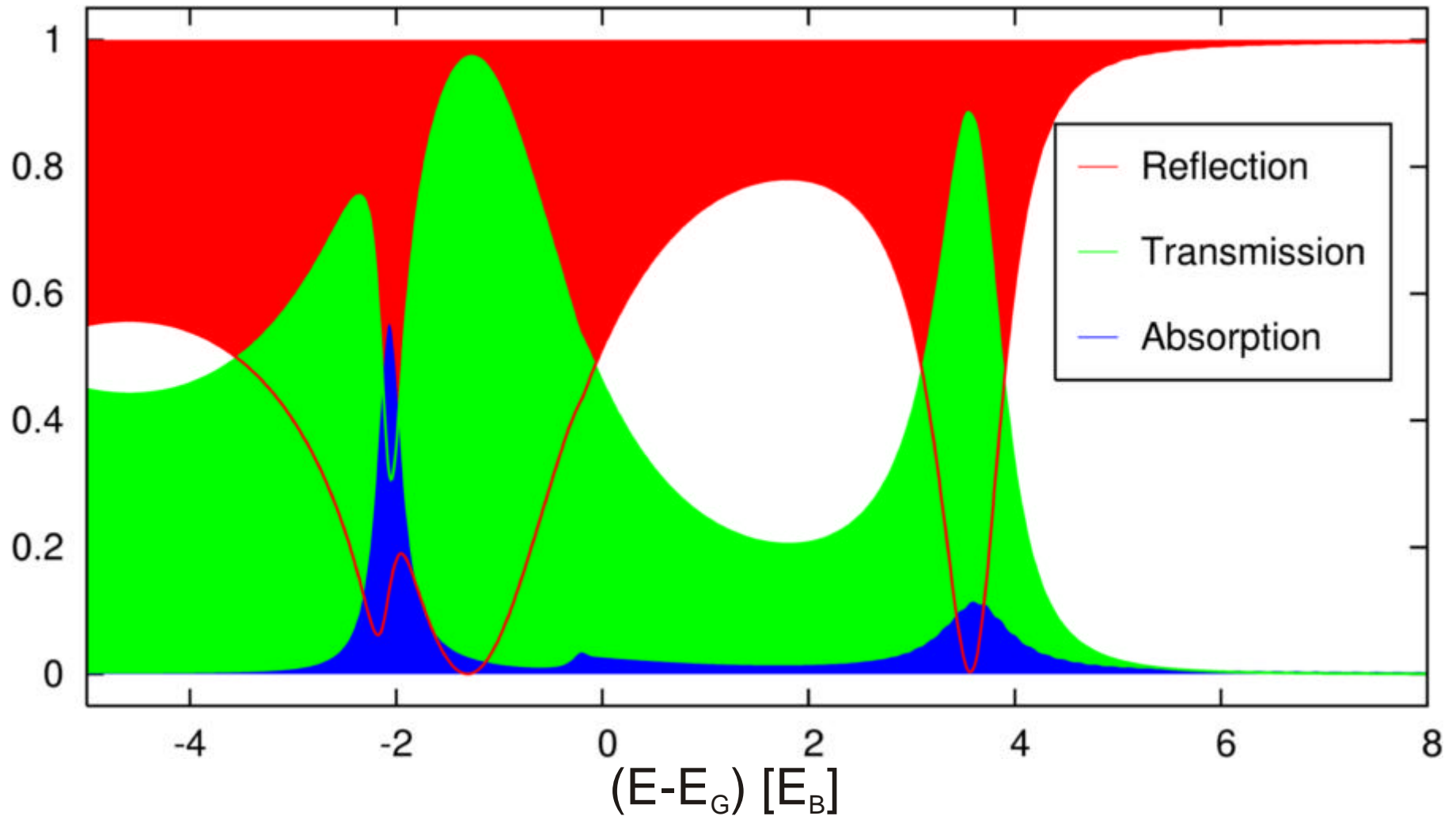
- 2D array of dielectric cylinders surrounded by air
- Cylinders filled with semiconductor quantum wire
- Incoming plane wave polarized in direction of wires (TM mode)

# Optical spectra of photonic crystal



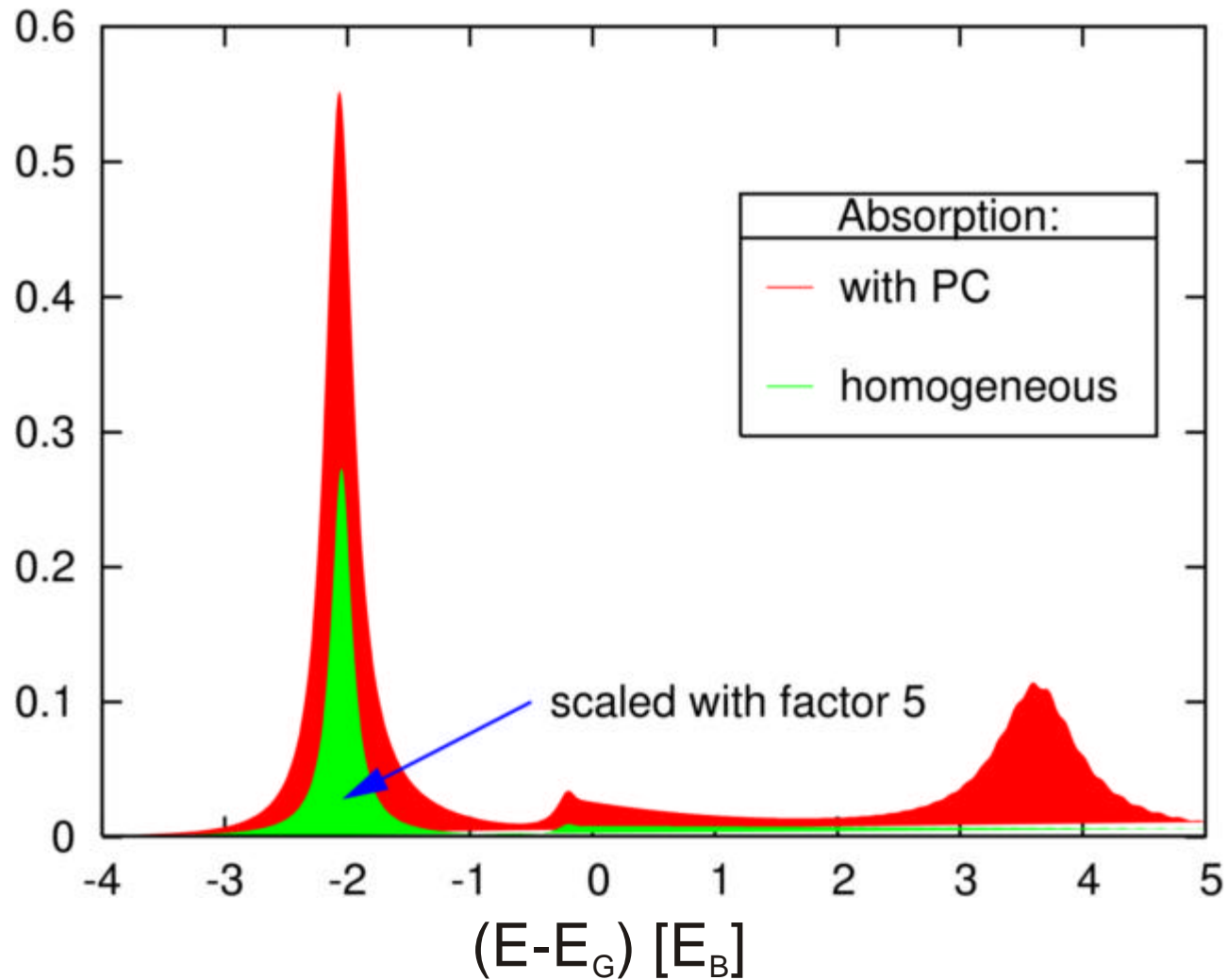
- ⇒ photonic bandstructure leads to frequency dependence
- ⇒ transmission vanishes in photonic band gap

# Optical spectra



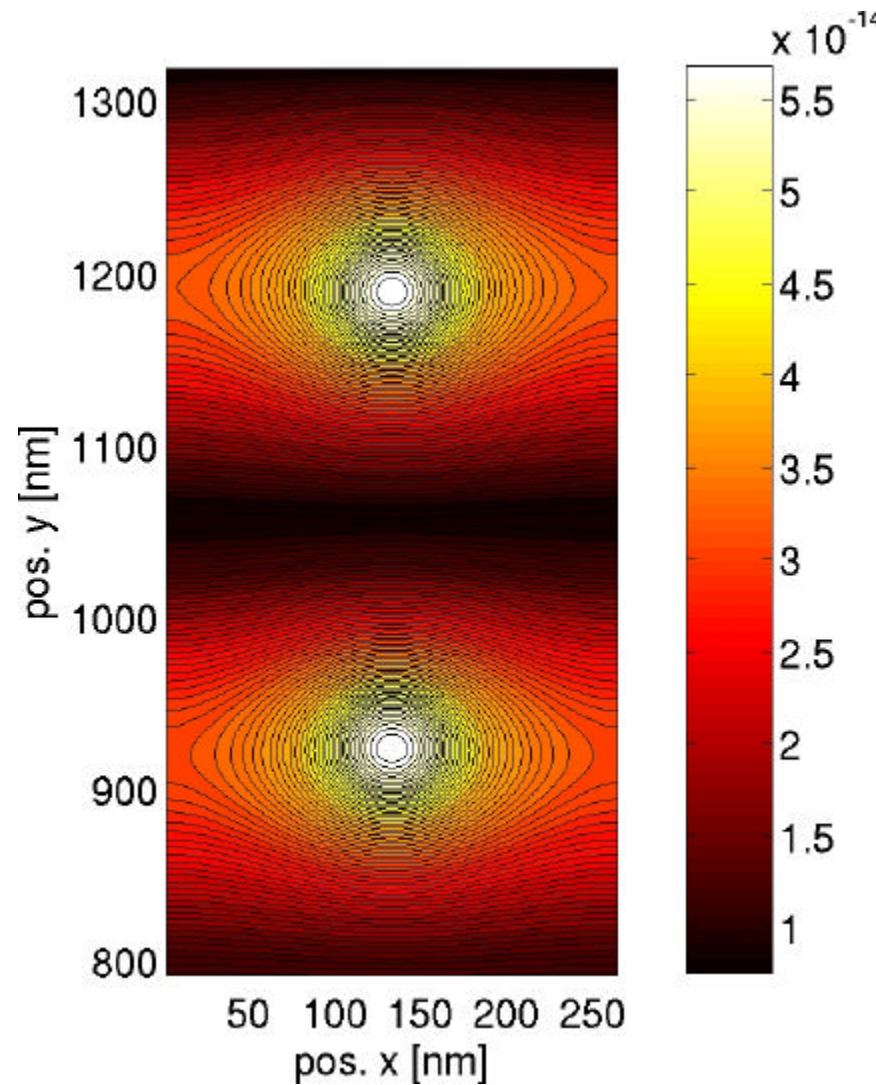
**$\Rightarrow$  photonic bandstructure modifies absorption spectrum**

# Absorption spectra



**$\Rightarrow$  strongly enhanced absorption**

# Field concentration



⇒ **field concentrates in dielectric cylinders**

# Summary

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**Due to inhibited spontaneous emission a photonic band gap strongly influences material properties**

- exciton formation and statistics, and photoluminescence



**Coulomb interaction is altered near a photonic crystal**

- spatially-varying band gap and exciton binding energy
- wave packet dynamics
- spatially-inhomogeneous quasi-equilibrium carrier occupations



**Light-matter interaction can be tailored**

- enhanced absorption (and gain) due to light concentration



**Outlook: full self-consistent treatment of transversal and longitudinal effects**

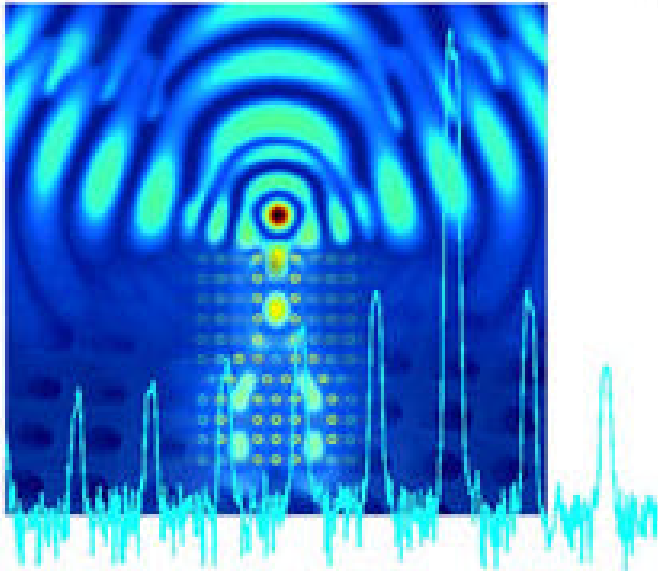
- combining carrier and light concentration effects

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# Photonic Crystals

Advances in Design, Fabrication, and Characterization



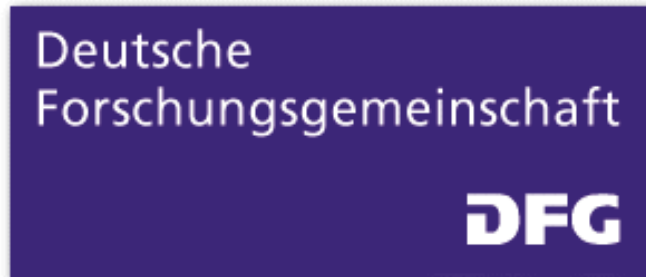
Interested in photonic crystals?

Activities of the groups funded by  
the DFG priority program  
*“Photonic Crystals”*  
are described in this book  
(published Spring 2004)



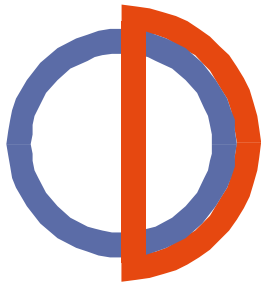


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cpu-time on parallel  
supercomputer